



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

11/15

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

PM02/0517

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| APPLICATION NO.       | FILING DATE | TOTAL CLAIMS                      | EXAMINER AND GROUP ART UNIT | DATE MAILED   |
|-----------------------|-------------|-----------------------------------|-----------------------------|---------------|
| 08/999,297            | 12/29/97    | 020                               | FREJD, R                    | 2763 05/17/00 |
| First Named Applicant | POOL,       | 35 USC 154(b) term ext. = 0 Days. |                             |               |

TITLE OF INVENTION UNIVERSAL SHOPPING CENTER FOR INTERNATIONAL OPERATION

| ATTYS DOCKET NO. | CLASS-SUBCLASS | BATCH NO. | APPLN. TYPE | SMALL ENTITY | FEE DUE  | DATE DUE |
|------------------|----------------|-----------|-------------|--------------|----------|----------|
| 2 0090-001       | 705-026.000    | M57       | UTILITY     | YES          | \$605.00 | 08/17/00 |

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.**

**THE ISSUE FEE MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.**

**HOW TO RESPOND TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
- B. If the status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay FEE DUE shown above, or

B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.

II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give application number and batch number.

Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.**

PATENT AND TRADEMARK OFFICE COPY

# Notice of Allowability

Application No.  
08/999,297

Applicant(s)

POOL et al.

Examiner

RUSSELL FREJD

Group Art Unit

2763



All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.

☒ This communication is responsive to applicant's Letter Response received 3-27-00

☒ The allowed claim(s) is/are 1-20

☐ The drawings filed on \_\_\_\_\_ are acceptable.

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☒ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE **THREE MONTHS** FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.

☒ Applicant MUST submit NEW FORMAL DRAWINGS

☐ because the originally filed drawings were declared by applicant to be informal.

☒ including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. 9.

☐ including changes required by the proposed drawing correction filed on \_\_\_\_\_, which has been approved by the examiner.

☐ including changes required by the attached Examiner's Amendment/Comment.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.

## Attachment(s)

☐ Notice of References Cited, PTO-892

☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_

☐ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

☐ Interview Summary, PTO-413

☒ Examiner's Amendment/Comment

☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material

☒ Examiner's Statement of Reasons for Allowance

KEVIN J. TESKA  
SUPERVISORY  
PATENT EXAMINER

Art Unit: 2763

*Allowance of Application # 08/999,297*

1. The following communication is in response to applicant's Letter Response, received 27-March-2000.

*Reasons for Allowance*

2. The following is an Examiner's Statement of Reasons for the indication of allowable subject matter. The present invention is directed to a process for carrying out an international transaction over EMF communication links using computer to computer communications. Each independent claim identifies the uniquely distinct features "for carrying out an international transaction over EMF (the Internet) communication links", and "generation of electronic title configured to define ownership and facilitate passage of selected products and payment of international taxes and duties". The closest prior art, the article by Schell, entitled "Business 400: Not Just for Catalogues", discloses an electrical data interchange (EDI) function, but fails to anticipate or render the limitations noted above obvious.

*Examiner's Comment*

3. An Examiner's Comment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 C.F.R. § 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the Issue Fee.

**Art Unit: 2763**

As noted by the Examiner in Paper No. 9, the disclosure was objected to because the specification contains two appendixes. Patent Rules (Section 1.96) stipulate that only "computer program listings" may form an appendix. For this reason, applicant's Appendixes I and II will not be printed if this application is issued. Furthermore, applicant must delete all reference to the appendixes from the specification. If the specification requires the appendixes, the information from the appendixes may be amended into the specification, but however, no drawings will be allowed.

In Applicant's response received 5-January-2000, Applicant left the decision about amending Appendixes I and II into the specification, with the Examiner. It is the Examiner's opinion that the appendixes are necessary to facilitate a full understanding of the present invention, and therefore, the Examiner respectfully requests the specification to be amended as suggested in Applicant's response, and in accordance with the guidelines described above.

***Response Guidelines***

4. Any comments considered necessary by applicant **MUST** be submitted no later than the payment of the Issue Fee and, to avoid processing delays, should preferably accompany the Issue Fee. Such submissions should clearly be labeled "Comments on Statement of Reasons for Allowance".

5. **Any response to the Examiner in regard to this allowance should be**

**directed to:** Russell Frejd, telephone number (703) 305-4839, Monday-Friday from 0630 to 1500 ET, or the examiner's supervisor, Kevin Teska, telephone

Serial Number: 08/999,297

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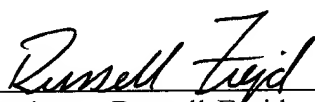
Art Unit: 2763

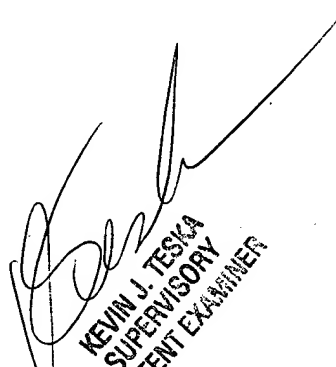
number (703) 305-9704. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist, telephone number (703) 305-3900.

**mailed to:** Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**or faxed to:** (703) 308-9051 (for formal communications intended for entry), or  
(703) 308-1396 (for informal or draft communications, please label  
"PROPOSED" or "DRAFT").

*Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington. VA., Sixth Floor (Receptionist).*

  
Examiner: Russell Frejd  
Date: 17-May-2000

  
KEVIN J. TESKA  
SUPERVISORY  
PATENT EXAMINER